

# Rockwell Utilities ORIGINAL

OFFICIAL FILE

ILLINOIS COMMERCE COMMISSION

August 14, 2008

*Public*

Ms. Elizabeth Rolando  
Chief Clerk  
527 E. Capitol Ave.  
Springfield, IL 62701

Ms. Mary Selvaggio  
Accounting Manager  
527 E. Capitol Ave.  
Springfield, IL 62701

*Report  
06-0522/06-0523  
(cens)*

ILLINOIS  
COMMERCE COMMISSION  
2008 SEP -41 A 10:58  
CHIEF CLERK'S OFFICE

Re: Financial Information for the Six Months Ended June 30, 2008

Dear Madam:

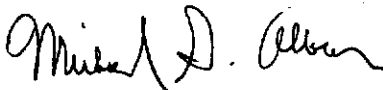
Pursuant to the Order entered in Dockets 06-5022 and 06-0523 (Consol.) herewith please find the actual financial information for Rockwell Utilities, LLC for the six month period ending June 30, 2008, including:

- Comparative Balance Sheet, which includes aggregate plant investment and contributions in aid of construction.
- Comparative Statement of Income, which includes revenues, and direct and allocated expenses.
- Schedule of Other Information, which includes number of customers, status of construction projects, and services provided by Kirk to Rockwell and the related charges.

Due to current litigation, this version is confidential and is *not* to be posted on E-Docket. A redacted public version is also enclosed.

Sincerely,

Rockwell Utilities, LLC



Michael S. Albach

UTILITY NAME Rockwell Utilities, LLC	Date of Report June 30, 2008
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COMPARATIVE BALANCE SHEET

Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	June 30, 2008 (d)	June 30, 2007 (e)
UTILITY PLANT				
101-106	Utility Plant	11F		
108-110	Less: Accumulated Depreciation and Amortization	11F		
	Net Plant			
114-115	Utility Plant Acquisition Adjustments(net)	11F		
116	Other Utility Plant Adjustment			
	Net Utility Plant			
OTHER PROPERTY AND INVESTMENTS				
121	Non-utility Property			
122	Less: Accumulated Depreciation and Amortization			
	Net Non-utility Plant		\$0	\$0
123	Investment in Associated Companies			
124	Utility Investments			
125	Other Investments			
126-127	Special Funds			
	Total Other Property & Investments		\$0	\$0
CURRENT AND ACCRUED ASSETS				
131	Cash			
132	Special Deposits			
133	Other Special Deposits			
134	Working Funds			
135	Temporary Cash Investments			
141-144	Accounts & Notes Receivable, Less Accumulated Provision For Uncollectible Accounts			
145	Accounts Receivable from Associated Companies	12F		
146	Notes Receivable from Associated Companies	12F		
151-153	Materials and Supplies	12F		
161	Stores Expenses			
162	Prepayments	12F		
171	Accrued Interest and Dividends Receivable			
172	Rents Receivable			
173	Accrued Utility Revenues			
174	Miscellaneous Current and Accrued Assets			
	Total Current and Accrued Assets			
DEFERRED DEBITS				
181	Unamortized Debt Discount & Expense	13F		
182	Extraordinary Property Losses	13F		
183	Preliminary Survey & Investigation Charges		0	0
184	Clearing Accounts			
185	Temporary Facilities			
186	Miscellaneous Deferred Debits	12F		
187	Research & Development Expenditures			
190	Accumulated Deferred Income Taxes			
	Total Deferred Debits		\$0	\$0
	TOTAL ASSETS AND OTHER DEBITS			

UTILITY NAME

Date of Report

Rockwell Utilities, LLC

June 30, 2008

## COMPARATIVE BALANCE SHEET

Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	June 30, 2008 (d)	June 30, 2007 (e)
EQUITY CAPITAL				
201	Common Stock Issued	14F		
204	Preferred Stock Issued	14F		
202,205	Capital Stock Subscribed			
203,206	Capital Stock Liability for Conversion			
207	Premium on Capital Stock			
209	Reduction in Par or Stated Value of Capital Stock			
210	Gain on Resale or Cancellation of Reacquired Capital Stock			
211	Other Paid-In Capital			
212	Discount on Capital Stock			
213	Capital Stock Expense			
214,215	Retained Earnings	14F		
216	Reacquired Capital Stock			
218	Proprietary Capital(Proprietorship & Partnership Only)			
	Total Equity Capital			
LONG-TERM DEBT				
221	Bonds			
222	Reacquired Bonds			
223	Advances from Associated Companies			
224	Long-Term Debt			
	Total Long-Term Debt		\$0	\$0
CURRENT AND ACCRUED LIABILITIES				
231	Accounts Payable			
232	Notes Payable	13F		
233	Accounts Payable to Associated Companies	14F		
234	Notes Payable to Associated Companies	13F		
235	Customer Deposits			
236	Accrued Taxes			
237	Accrued Interest			
238	Accrued Dividends			
239	Matured Long-Term Debt			
240	Matured Interest			
241	Miscellaneous Current and Accrued Liabilities			
	Total Current and Accrued Liabilities			
DEFERRED CREDITS				
251	Unamortized Premium on Debt	13F		
252	Advances for Construction	15F		
253	Other Deferred Credits			
255	Accumulated Deferred Investment Tax Credits			
	Total Deferred Credits		\$0	\$0

UTILITY NAME Rockwell Utilities, LLC	Date of Report June 30, 2008
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**COMPARATIVE BALANCE SHEET**

Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	June 30, 2008 (d)	June 30, 2007 (e)
	<b>OPERATING RESERVES</b>			
261	Property Insurance Reserve			
262	Injuries and Damages Reserve			
263	Pensions and Benefits Reserve			
265	Miscellaneous Operating Reserves			
	Total Operating Reserves		\$0	\$0
	<b>CONTRIBUTIONS IN AID OF CONSTRUCTION</b>			
271	Contributions In Aid of Construction	16F		
272	Accumulated Amortization of Contributions In Aid of Construction			
	Total Net C.I.A.C.			
	<b>ACCUMULATED DEFERRED INCOME TAXES</b>			
281	Accumulated Deferred Income Taxes- Accelerated Depreciation			
282	Accumulated Deferred Income Taxes- Liberalized Depreciation			
283	Accumulated Deferred Income Taxes-Other		\$0	
	Total Accumulated Deferred Income Taxes		\$0	\$0
	<b>TOTAL EQUITY CAPITAL AND LIABILITIES</b>			

\$0

**NOTES TO THE BALANCE SHEET**

This space is provided for important notes regarding the balance sheet.

UTILITY NAME				Date of Report
Rockwell Utilities, LLC				June 30, 2008
COMPARATIVE STATEMENT OF INCOME FOR THE SIX MONTH PERIOD				
Acct.No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	Current Six Months (d)	Six Months Ended 30-Jun-07 (e)
	UTILITY OPERATING INCOME			
400	Operating Revenues	17W.23S		
401	Operating Expenses	18Wa.24Sa		
403	Depreciation Expenses	19W.25S		
406	Amortization of Utility Plant Acquisition Adjustment			
407.X	Amortization Expense			
408.1X	Taxes Other Than Income			
409.1X	Income Taxes			
410.10	Deferred Federal Income Taxes			
410.1X	Deferred State Income Taxes			
411.10	Provision for Deferred Income Taxes			
412.10	Investment Tax Credits Deferred to Future Periods			
412.11	Investment Tax Credits Restored to Operating Income			
	Utility Operating Expenses			
	Utility Operating Income			
413	Income From Utility Plant Leased to Others			
414	Gains (Losses) From Disposition of Utility Property			
	Total Utility Operating Income			
	OTHER INCOME AND DEDUCTIONS			
415	Revenues from Merchandising, Jobbing & Contract Deductions			
416	Costs & Expenses of Merchandising, Jobbing & Contract Work			
419	Interest and Dividend Income			
420	Allowance for Funds Used During Construction			
421	Non-utility Income			
426	Miscellaneous Non-utility Expenses			
	Total Other Income and Deductions		\$0	
	TAXES APPLICABLE TO OTHER INCOME			
408.20	Taxes Other Than Income			
409.20	Income Taxes			
410.20	Provision for Deferred Income Taxes			
411.20	Provision for Deferred Income Taxes-Credits			
412.20	Investment Tax Credits-Net			
412.30	Investment Tax Credits Restored to Operating Income			
	Total Taxes Applicable to Other Income			\$0
	INTEREST EXPENSE			
427.X	Interest Expense			
428	Amortization of Debt Discount & Expense	13F		
429	Amortization of Premium on Debt	13F		
	Total Interest Expense			
	EXTRAORDINARY ITEMS			
433	Extraordinary Income			
434	Extraordinary Deductions			
409.30	Income Taxes, Extraordinary Items			
	Total Extraordinary Items		\$0	\$0
	NET INCOME			

**Number of Customers:**

Residential	160
Commercial	9
Multi-Family **	1
	<u>170</u>

\*\* The 1 multi-family customer is an apartment complex with 497 units.

**Status of Construction Projects:** NONE

**Schedule of Services Provided by Kirk to Rockwell:**

	Jan	Feb	Mar	Apr	May	Jun
Accounts Payable / Receivable	████████	████████	████████	████████	████████	████████
Customer Billing	████████	████████	████████	████████	████████	████████
Office / Site Management	████████	████████	████████	████████	████████	████████
Office Overhead Charge	████████	████████	████████	████████	████████	████████
Disbursements - Postage	████████	████████	████████	████████	████████	████████
Totals	████████	████████	████████	████████	████████	████████